SIBLA

Training Guide

Volume 1

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you know, one of our major initiatives Agencywide o provide quality training to ensure that we maina standards of professionalism and "state-of-the-

" skills among our workforce. I am particularly con-

ned that new employees entering the service of the ency receive entry-level training that will provide a d basis for rapid growth and productivity in

ivering services to the small business community.

a result of several recent comprehensive training ds analyses, a Career Development System has n developed to address the major subjects which erged as common training needs from both man-

plans have also been developed for many positions. ditional plans for other positions will be added in

future. Agencywide training effort calls for initial emisis in three major areas:

rs and employees. In addition to this System, train-

intry-level training for new employees. additional skills building training for our current

mployees, particularly in the major program areas.

the best and most effective in Government.

son.

The full implementation of the training system w

gradual in that some of the programs must be de

oped and tailored to the Agency's specific needs

However, much of the training can and will be in

mented in FY-1980. Additionally, much of this tra will be centrally funded (both tuition and travel).

I want you to know that I wholeheartedly endors training effort as I am sure you do. I view training

an important instrument in our efforts to provide

fective and timely assistance to the small busine

From the short range view, training may be cons

as "down time" in which valuable production su However, if such learning experiences increase

fectiveness, the long-range results will more than pensate for the time spent in training.

Let's work together in making our training progr

Supervisory-Management training which includes an Ioe Maas xpanded training program for newly appointed Assistant Administrator The Career Development Guide contains training plans for 17 positions. Additional plans for other occupa-

tional groupings will be developed in the future. The plans show required and suggested training at each grade level within the career progression from the entry level through the managerial level.

Because employees possess different levels of skills and knowledge, it is not always feasible nor necessary to follow these guides exactly for every employee. However, employees should complete the programs listed in the "Required Training" column of their respective plan unless their previous training, educa-

tion and/or experience clearly indicate a current "state-of-the-art" skill in the subject offered. For example, an employee entering on duty at the GS-9 level must complete any necessary portion of the required training outlined at the GS-5/7 level if he/she has not previously completed equivalent training and possesses current skills in the required subjects.

The programs listed in the plans, but not in the Course Description (Part II) of the Guide will necessarily be obtained locally through universities, the Office of Personnel Management and other educational or training sources. Those listed in Part II are sponsored by and are centrally funded through the SBA Training Center at no cost to the individual office. Employees other

employee in, a planned, prepared, argram, course, curriculum, subject, sy of instruction or education, in scientitechnical, mechanical, trade, clerical ministrative, or other fields which ar

directly related to the performance b

Training is the process of providing favailable to an employee, and placing

official duties for the Government, in the knowledge, proficiency, ability, sl tions of the employees in the perform duties. tion.

-Anticipation of future staffing needs.

—To acquaint and orient new employees to the policies, practices and procedures of the organi

stall and utilize the best modern practices and

chniques (state of the art) which have been

veloped, tested and proven.

Part I

SBA Training Plan



wly-appointed first-line supervisors regardless of de must complete the following training within six nths after entering a supervisory position:	*See pages 19 and 20 for a description and sol of the required supervisory training. Programs conducted quarterly.
thin three months) sonnel Management Workshop for Supervisors 3 weeks) (A)*	A Agency conducted training—Conducted at resites throughout the country. L Local Programs.
thin six months) sic Management Skills (L)*	
lministrative Aide	
quired Training Grade GS-5/6	Maintenance Training (As Needed)
entation to SBA & Administration (R.O.)* sonnel Management for Personnel Assistants (L) dget Formulation (L) A Seminar: (L/GSA) uvel Regulations	Basic Management Analysis (L) Principles of Management (L) Basic Labor Management Relations (L) Basic Employee Development (L) Basic Employee Relations (L) Personnel Procedures (L)

Administrative Officer

Required Training

Grade GS-7

Orientation to SBA & Administration (R.O.)*
Basic Staffing (L)
Basic Classification (L)
Budget Formulation (L)
GSA Seminar: (L/GSA)

Space Planning Fedstrip Purchasing, etc. Budget Administration (L) Word Processing-Basic Or

Travel Regulations

Word Processing-Basic Orientation/Concepts (L) SBA Personnel Management Workshop for Supervisors (A)

- * Orientation should be completed within 30 days after entering on duty.
- A Agency conducted training—Conducted at regional sites throughout the country
- L Local Programs

Maintenance Training (As Needed)

Basic Management Analysis (L) Principles of Management (L) Basic Labor Management Relations (I Basic Employee Development (L)

Basic Employee Relations (L)
Personnel Procedures (L)
EEO for Personnel Specialists

EEO for Personnel Specialists (L)

qual Employment Opportunity Officer

All Grades ust Be Completed Within 2-Year Period)

ientation to SBA and EEO* sic EEO Counseling (L)

guired Training

O Law (L)

weeks) (A)

inaging EEO Programs (L)

erpersonal Skills (A)

after entering on duty.

Local Programs

sites throughout the country.

itistics for EEO Specialists (L)

rsonnel Management for EEO Staff (L)

ocessing Class Complaints of Discrimination (L)

A Personnel Management Workshop for Supervisors

Orientation should be completed within 30 days

Agency conducted training-Conducted at regional

I I TAY ID O IS A J.

firmative Action Planning Workshop (L)

Maintenance Training

(As Needed)

Workshop for FWP Coordinators (L)

Labor Relations for EEO Personnel (L)

Upward Mobility Counseling (L)

Program Administration: EEO (L)

Upward Mobility Planning and Implementation (

The Role of Supervisors and Managers in EEO (

Management and Human Factors in EEO (L)

Advanced EEO Counseling (L)

Workshop for SSP Coordinators (L)

Hispanic Coordinator*

Required Training

(A)

Interpersonal Skills (A)

Local Programs.

duties.

(Must be Completed Within 2-Year Period)

Collateral duty for Hispanic Coordinators in field. This training is required for employees selected to serve as Hispanic Coordinators in field offices in addition to the training required for their regular

Workshop for SSP Coordinators (L)

All Grades

SBA Personnel Management Workshop for Supervisors

A Agency conducted training—Conducted at regional

sites throughout the country.

Upward Mobility Coordinator*

Required Training All Grades (Must be Completed Within 2-Year Period)

Harvand Mobility Counceling (1)

Maintenance Training

Maintenance Training

Advanced EEO Counseling (L)

Basic EEO Counseling (L) Statistics for EEO Specialists (L)

Affirmative Action Planning Workshop (L)

(As Needed)

EEO Law (L)

(As Needed)

Programs dealing with Unward Mobility Programs

Attorney

Training Required

Grade GS-9

Orientation to SBA & Legal* Agricultural Lending (A) Administrative Law (L) Federal Labor Relations (L) Interpersonal Skills (A)

FOIA & Privacy Act (L)
Uniform Commercial Code (L)

Maintenance Training (As Needed)

Report Writing (L) Letter Writing (L)

Financial Analysis (A)
Construction Lending (A)

Procurement (A)

Continuing Legal Education (Available Bankruptcy Law

Secured Transactions General Law Review Seminars

Real Estate Law Banking & Commercial Law

Debtor/Creditor Relations
Uniform Commercial Code
Federal Practice & Procedure

Grade GS-11/12

Same as GS-9 Same as GS-9

Loan Closing Assistant

Orientation to SBA and Legal*

Oning to Pagin IICC (II)

Local Programs.

Introduction to Business/Commercial Law (L)

Grade GS-5/6

Required Training

Orientation to Basic UCC (L) Real Estate Law (L)	Interpersonal Skills (A) Legal Research (L) Introduction to Chattels (L)	
Grade GS-7		
Orientation to SBA & Legal*	Legal Research (L) Introduction to Chattels (L) Commercial Law (L) Title Insurance (L)	
* Orientation should be completed within 30 days after entering on duty.	Note: New employees, regardless of entranc level, must complete the required training li	
A Agency conducted training—Conducted at regional sites throughout the country.	lower grades unless equivalent programs eit through training, education and/or experience	

Maintenance Training (As Needed)

Internacional Chille (A)

Office Procedures and Files (L)

been satisfactorily completed.

Report Writing (L)

Miscellaneous Documents Examiner

Required Training	Grade GS-5	Maintenance Training As Needed)
Orientation to SBA and Introduction to Business Real Estate Law (L) Orientation to Basic UC	/Commercial Law (L)	Report Writing (L) Legal Letter Writing (L) Office Procedures & Files (L) Interpersonal Skills (A) Legal Research (L)
	Grade GS-6	
Same as GS-5		Introduction to Chattels (L) Report Writing (L) Interpersonal Skills (A) Legal Research (L)

Office Procedures and Files (L)

Grade GS-7

Legal Research (L) Same as GS-5 Title Insurance (L) Commercial Law (L) Introduction to Chattels (L)

Business Management Assistant

GS-5/7 Assistant
Orientation to MA and SBA*
Basic Accounting (L)
Entelek (C)
Interpersonal Skills (A)

been satisfactorily completed.

Required Training

Letterwriting (L)

Orientation should be completed within 30 days after entering on duty.

Grade

A Agency conducted training—Conducted at regional sites throughout the country

L Local Programs

C Correspondence programs

Note: New employees, regardless of entrance grade level, must complete the required training listed for lower grades unless equivalent programs either through training, education and/or experience have

Maintenance Training (As Needed)

D&B II & III (C)

Molloy I (A)
Cross Training in F&I (L)
Small Business Management (L)

Business Management Specialist

Grade GS-9 Required Training

Orientation to MA and SBA* D&B II & III (C)

Construction Contracting/Loan Making (A) Financial Analysis (Molloy I) (A) Principles of Marketing (A)

Grade GS-11/12

Orientation to MA and SBA* D&B II & III (C) Construction Contracting/Loan Making (A) Financial Analysis (Mollov II) (A) Principles of Marketing (A)

Maintenance Training (As Needed)

Lecturing (L) Adult Learning Theory (L)

Basic Management (L) Report Writing (L) Cross Training in F&I (L)

Federal Tax Law (L)

Advanced Management (L) Merchandising (L) Cross Training with F&I (L)

Principles of Organization (L) Intermediate Marketing (L) Principles of Retailing (L) Taxation and Small Business (L)

0.1

Seminar on Export & International

Business Development Specialist

Required Training Grade GS-5/7

Orientation to SBA & MSB/COD*

Procurement (Basic) (A)
Entelek (C)
Basic Financial Analysis (A)

Interpersonal Skills (A)

Interpersonal Skills (A)

Grade GS-9/11/12

Orientation to SBA & MSB/COD*

Basic Procurement (A) Construction Contracting/Loan Making (A)

Construction Contracting/Loan Making (A)
Contract Administration (L)
Letter and Report Writing (L)

Letter and Report Writing (Principles of Marketing (A) Mollov I and II (A)

Basic Financial Analysis (A)

Maintenance Training (As Needed)

Contract Administration (L)
Contract Negotiations (L)
Report Writing (L)
Letter Writing (L)

Contract Law (L)
Cost and Price Analysis (L)
Contract Negations (L)

Contract Negotiations (L) Competing for Contracts (L)

Grade GS-13/14

Contract Negotiator/Specialist

Required Training Grade GS-5/7

Orientation to SBA and Program Area* Basic Procurement (A) Principles of Contract Pricing (L)

Grade GS-9/12

Orientation to SBA & Program Area* Contract Negotiations (L) Contract Administration (L)

Defense Negotiations Workshop (L)

Construction Contracting (A)

Cost Analysis and Contract Cost Principles (L)

Financial Analysis (A)

Contract Law (L)

Contract Law (L)

Letter Writing (L) Report Writing (L) Basic Financial Analysis (A)

Maintenance Training (As Needed)

Interpersonal Skills (A)

Cost/Price Analysis (L) Statistical Analysis (L)

Contract Administration (L)

Contract Negotiations (L)

Terminating Settlement & Negotiation (L)

Advanced Cost/Price Analysis (L) Advanced Contract Management (L)

Cost/Price Analysis (L)

Evaluating Contractors (L) Estimating Systems (L) Project Cost Estimating (L)

Defense Advanced Procurement Managemen

Skills of Contract Administration (L)

neral Engineer	•	
uired Training	All Levels	Maintenance Training (As Needed)
entation to Agency & ic Procurement (A) hnology Update (L)	PA*	Contract Law (L) Procurement Update (L) Technology Transfer Techniques (L) Interpersonal Skills (A)
	Managers GS-13/14	
oblem Solving & Decision Making (A)		Local management courses

after entering on duty.

Agency conducted training—Conducted at regional

sites throughout the country

Local Programs

Industrial Specialist

Required Training

Basic Procurement (A)

Contract Claims (L)

Orientation to SBA & PA (L)*

Cost & Price Analysis (L)

Procurement Update (L)

Executive and Management Training to maintain

Orientation to SBA and PA* Cost and Price Analysis (L)

Orientation to SBA and PA*

Grade GS-15

Grade GS-13/14

Grade GS-9/11/12

Problem Solving & Decision Making (A)

Contract Law (L) Interpersonal Skills (A) Contract Administration (L) Principles of Marketing (A)

Statistical Analysis (L)

Basic Financial Analysis (A) Statistical Analysis (L) Principles of Marketing (A)

Contract Administration (L)

Interpersonal Skills (A)

(As Needed) Contract Law (L)

Maintenance Training

Basic Financial Analysis (A)

Personnel Management for Managers (A)

Capitol Hill Workshop (A)

ocurement Ana	lyst	
uired Training	Grade GS-12/13	Maintenance Training (As Needed)
entation to SBA & PA	*	Statistical Analysis (L) Contract Law (L) Interpersonal Skills (A) Contract Administration (L) Principles of Marketing (A) Procurement Update (L) Contract Claims (L)
	Grade GS-13/14	
entation to SBA and P blem Solving & Decisi		Management training programs offered locally
	Grade GS-15	
entation to SBA and F cutive and Manageme	'A* ent Training to maintain	Capitol Hill Workshop (A) Personnel Management for Managers (A)

Part II Centralized Programs to be Offered in

FY-1980

gram: Interpersonal Skills	4. Recognize how communication techniques influattitudes in others.
cription: This four-day workshop is designed to ble SBA employees to communicate more efficiently effectively and to comprehend their position as in-	5. Recognize our biases, and learn what to do ab them.
nation sources. It will enable the participant to ap- priately respond to and initiate information to sfy the SBA client's needs.	6. Communicate the general mission and specific grams, goals, and objectives of SBA to the public will be accomplished thru practice sessions of br techniques and communicating to an audience.
training objectives are designed to:	
Develop techniques of preparing for an interview, ing the interviewee to talk and gaining the inter- vee's cooperation and confidence.	Attendees: All SBA employees who have contact the public are eligible to attend this training.
ecognize and identify certain values about self and	Length: This training will be conducted in four-daworkshops.
rs that may either have a positive or negative im- t in the interview situation.	Schedule and Location: February 11, 12, 13, 14, —Washington, D.C.; April 7, 8, 9, 10, 1980—Dall
ecognize and respond to poor utilization of power potential conflicts.	Texas; May 12, 13, 14, 15, 1980—San Francisco, California

am: Capitol Hill Workshop	Program: Models for Management
iption: Learn how policy is developed through ng with the policy makers, seeing them in action, able to dialogue, etc.	Description: This program is an assessment proces which participants assess themselves and superior peers and subordinates provide input on the streng and weaknesses of the participant. Participant has opportunity to review his or her management style to discover areas where improvement is needed.
ollowing topics are covered:	
icy formation in the White House	
ablishing Policy Priorities: The operation of OMB	The program includes:
e structure of the legislative process	—Analysis of personal assumptions and how other perceive you
islative/Executive relations: The function of	—Decision making
House/Legislative liaison	Motivation
jority leadership and organization in Congress	—Behavioral Science models
e role of the minority party in Congress	
e content of legislation: The work of the substanommittees in the House and Senate	—Organizational effectiveness
arces of Congressional oversight	Attendees: ADD/FIs, ADD/MAs, District Directors a mid-management personnel.

gram: Personnel Management Workshop for Super- ors		—The self-esteem cycle
cription: This program is required training	for all	Awareness
v supervisors. It is given in a single three w	eek	-Constructive & restrictive motivation
iod and contains four separate but interrelated tions.		—Philosophy of goal setting
four portions are:		—Guides for goal setting
chieving Your Potential	2⅓ days	Possibility thinking
eadership Effectiveness Training	5 days	—Natural Creativity
ersonnel Policies, Practices and Procedures	5 days	—Resiliency: Handling temporary setbacks
/ACSystem	2 days	—Defining group goals
ull description of each portion is given on thowing pages.	ie	Positive stress management
endees: All newly appointed supervisors		

Personnel Policies, Practices and	Merit Appraisal & Compensation [MAC] 2 days
Procedures 5 days All supervisors must understand Federal personnel policies, practices and procedures in order to perform their role as a supervisor effectively.	This portion of the workshop explains the new system for mid-level managers. Pay for these ployees will be based on the satisfactory commutually agreed objectives.
Upon completion of this portion of the workshop, par- ticipants will:	Topics to be covered include:
—Understand the basic steps in the Federal Classifica-	—MAC system description
tion process	—Objective setting process
—Be able to cite the major steps supervisors must take in filling a position	—Characteristics of good objectives
—Be familiar with the basic approaches and methods	—Agreeing on objectives
for dealing effectively with performance and discipline problems	-Writing your own objectives
—Know the basic steps a supervisor must take in	—Quarterly reviews
evaluating his or her employees	—Unscheduled reviews
—Know the basic provision of the laws governing the Labor/Management area	—Annual performance appraisal
—Know the basic steps in determining their employees	—MAC system performance appraisal review
training needs	-Examples of compensation computation

gram: Personnel Management for Managers	Program: Problem Solving and Decision Making
scription: After completing the program, parpants will:	Description: The program will cover the following topics:
Better understand their role as Personnel Managers	—Ways to increase problem solving skills
Better understand OPM principles and procedures	—The theory of decision making
t must be considered in managing and staffing their itions—What they can and cannot do in various tations	—The practical use of decision making
know the basic philosophy and procedures govern-	—How to improve interactive skills of group deci- making
the SBA performance evaluation process and ards program	—How to apply these skills to improve performanthe organization
now procedures for and sources from which they recruit capable employees	
lave a basic familiarity with the appropriate pro- ures and pitfalls in taking an adverse action	Attendees: Mid-Level Managers (GS-13/14/15) wh have completed the required supervisory training gram.
Better understand what help the Personnel staff can vide and when and how to ask for it	Length: Four (4) days
	Schedule and Location: December 10-13, 1979—Vington D.C.: June 2-5, 1980—San Francisco, Califo

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ram: Credit & Financial Analysis (Elementary)	Program: Construction Contracting/Loan Making
ription: The program develops an elementary rstanding of credit and financial analysis.	Description: Fourteen percent of the Gross Nationa Product is generated by the construction industry. characteristics and methods of operation are significantly different from other types of businesses.
cs covered include:	
iteria for a sound credit policy	The program will:
siness failure causes and trends	—Develop an understanding of construction finance and estimating.
praising customer management	—Develop an understanding of Federal constructio
nancial statements simplified	contracting techniques.
y factors affecting statement analysis	—Provide information on construction planning and management.
orking capital analysis	Frankla the CDA secretal state of the CC test of
ing ratios to analyze risk	 Enable the SBA specialist to provide efficient and fective technical assistance and management support to construction contractors.
ernal analysis	
mparative analysis: Introducing the element of	
ial balanca analysis	Attendees: Loan Specialists, MAOs, Business Devel ment Specialists, Procurement Specialists and other

aining	Program: Financial Analysis (Advanced) (Molloy II)
pation in this training program will to develop a better understanding and export financing as they ness manufacturers. This training hal information and examples of the export marketing and export	Description: This program will build upon the skills in Financial Analysis (Basic) and give concentration to the concept of marketing.
l manufacturer." The purpose of rovide attendees with the	The following is covered:
ch will enable them to assist the on who wants to export his/her	—Further identifying problems in small businesses
pletion of the course, participants valuate the basic feasibility of a	—Special concentration in marketing
nanufacturing firm's Export g Plan. Attendees will be provided instrument by the contractor which	—Recognizing alternative avenues for failing businesses
track and measure the impact of ided through them to the small Phrough the workbook to be	—Review of financial analysis tools presented in the basic course
s will be able to index local of assisting the exporting firm in overseas.	—Skills leading to realistic problem solving for small businesses
ment Assistance Officers and Loan e to attend this program	—Recognizing the merits of different approaches to management of any given business situation
a, Atlanta, San Francisco, Chicago,	Actual case studies of businesses are analyzed and discussed by participants in small groups.

Program: Loan Officer Development Seminar #1	Program: Marketing (Principles of)	
Description: Introduction to SBA Finance and Investment Function	Description: This program will include:	
—Policies, procedures and philosophy governing this function	 —Introduction to the practical application of marketing techniques. 	
Reference materials and other resources available to loan specialists	—Practical marketing analysis to evaluate a r small business client's marketing proposal.	
—Introduction to processing, servicing and liquidation	—Analysis and development of a marketing pl	
-How loan cases are handled—what's involved ini-	—Marketing control.	
tially—how is eligibility determined—what must be in- cluded in loan report	—Sales analysis.	
—Financial analysis of loan applications—short-term vs. long term lending	—Marketing cost analysis.	
—How and when to use other SBA resources such as MA	Attendees: Management Assistance Officers, Development Specialists, Procurement Specia	
-Administrative procedures such as loan accounts, print-outs, etc.	Loan Officers as needed	
	Length: Three (3) days	

—Contract pricing, costing and financing
—Types of contracts
—Contract clauses
—Contract administration and modifications
—Termination of contracts
.—Disputes and appeals
Attendees: All SBA specialists involved in Proc
ment activities
Location: To be announced
Length: Four and one-half days
Schedule: To be announced

am: Correspondence Clinic (Proofreading, Gram- Editing and Composition)	Program: Correspondence Update
iption: This clinic will improve skills in proof- ng, grammar, editing and composition. Topics to wered include:	Description: SBA correspondence procedures are based on the U.S. Government Correspondence Man and the SBA supplement to it.
vereq include:	Emphasis in this course is placed on procedures wh are unique to SBA, with a concentration on the specific mistakes and problems which cause outgoin
lling	correspondence to be returned to the originators.
mmar	Attendees: All confidential assistants, secretaries at typists who are responsible for typing and/or review
bitalization	ing SBA correspondence.
rdiness in compositions	Length: One and one half (1½) hours
ctuation	Location: Second Floor Conference Room—Imperial Building
rd Division	Schedule: As needed
previation	10 100000
ics	
ofreading Techniques	
rals	

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ram: Effective Administrative Writing Seminar	Program: Report Writing for Auditors	
ription: Communicating written ideas clearly and tively is an important management skill. Hours money are wasted because of communications obscure the information they are intended to con-	Description: This program focuses on the process a elements of effective report organization, developm and presentation. It points out the need for shaping the report message, developing issues and handling the evidence so the report is clear, convincing and complete.	
seminar presents a practical—nonacademic— ems" approach to writing and reviewing all kinds aterial.	Among the topics covered are the logic, syntax and proper use of the word, the sentence and the paragraph.	
cs to be covered include:	Attendees: Auditors and Investigators and others of "space-available" basis.	
hat is Effective Writing	Length: 32 hours—four (4) full days	
ow your audience	Schedule: February 26-29, 1980 at Bethesda,	
sponsibilities of the Editor	Maryland	
nics of Writing		
g Index		
os on Report writing		

to be to be to

Program: Secretarial & Administrative Assistant Program: Speed Learning (Speed Reading) Workshop Description: This program will teach the p. Description: Learn how to be a SUPER Secretary how to read faster, remember more of wha and comprehend more of what is read. It will teach the participant how to organize This course covers the following topics: to be read and when and how to shift gear upon the type of material to be read. -The nature & significance of the position Attendees: Any SBA employee who needs t -The secretary's role in working with people substantial amount of working hours readi -Transactional Analysis Length: 16 hours-Eight (8) days-2 hours -The Supervisor-Secretary relationship Location: Second Floor Conference Room-Building -The importance of communication Schedule: To be announced -Work simplification & the management of time -Problem solving in the office

tants, in Central Office, CS h and above. Length: 21 hours - Five (5) days. (Four halt days and

Attendees: All secretaries and administrative assist

